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Volpe & Koenig, P.C.
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UNITED STATES PATENT AND TRADEMARK OFFICE

OFFICE OF ENROLLMENT AND DISCIPLINE

SEP - 6 2013

Mr. Yu-Li Tsai
Volpe and Koenig, P.C.
United Plaza
30 South 17th Street
18th Floor, Jay Halt
Philadephia, PA 19103-4009

DEEP & FAR
SEP 24 2013
Received

Re: OED ID Number: 93541

Dear Mr. Tsai:

Congratulations on attaining a passing grade on the examination for registration to practice before the United States Patent and Trademark Office (USPTO) given September 3, 2013. However, as discussed below, limited recognition is not appropriate for you at this time.

The Office of Enrollment and Discipline's (OED) letter to you of August 9, 2013, stated the following:

> It is noted that you have not yet provided documentation demonstrating that you are employed in a capacity of representing applicants before the USPTO by preparing and prosecuting their patent applications. It does not state that your duties include patent prosecution before the USPTO upon passing the registration examination. Accordingly, if you take and pass the examination, your application will be further processed to determine whether you qualify for limited recognition only if you provide documentation objectively demonstrating one of the following: (1) you are associated with a registered practitioner(s) who employs/trains you in the capacity of preparing/prosecuting patent applications for others before the USPTO; or (2) you are employed by the owner of the entire right, title and interest in U.S. patent applications, and your duties include preparing/prosecuting the applications before the USPTO. If you do not provide sufficient documentation, then your application for limited recognition will not be further processed. In view of the foregoing, your attention is drawn to 37 CFR §§ 11.8(b) and (c), which state:
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> (b) An individual shall not be . . . granted limited recognition under § 11.9(b) unless within two years of the mailing date of a notice of passing registration examination . . . the individual files with the OED Director a completed Data Sheet, an oath or declaration prescribed by the USPTO Director, and the registration fee set forth in § 1.21(a)(2) of this subchapter.
>
> (c) An individual who does not comply with the requirements of paragraph (b) of this section within the two-year period will be required to retake the registration examination.

You have not provided sufficient documentation concerning your employment. Therefore, limited recognition is not appropriate for you at this time, and your application for limited recognition is not being further processed. Subject to the two-year time limitation of 37 CFR § 11.8(b) and (c), you may supplement the present record by submitting employment documentation to OED. Upon receipt by OED of such documentation, your application will be further processed to determine whether you are eligible for limited recognition. You may not hold yourself out as having limited recognition unless and until you are notified by OED that you have been granted limited recognition.

If you wish to receive acknowledgement of the receipt of any communication, please include a self-addressed, stamped post card to be used for such purpose. The card should identify each type of paper filed. OED will stamp the receipt date on the card and place it in the outgoing mail. However, the card is not verification that all of those items you identified were present.

Please do not hesitate to contact me if you have any questions about this letter. My direct dial telephone number is (571) 272-0231.

Sincerely,

Thomas K. McBride
Staff Attorney
Office of Enrollment and Discipline